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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small>	<b>Attorney Docket No.</b>	2557-000192/US
	<b>First Inventor</b>	YUN-CHEOL HAN
	<b>Title</b>	FREQUENCY SYNTHESIZER AND FREQUENCY SYNTHESIZING METHOD

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>	<b>ADDRESS TO:</b> Commissioner for Patents Box Patent Application P.O. Box 1450 Alexandria, VA 22313-1450
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<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages <span style="border: 1px solid black; padding: 0 5px;">24</span>]</span> <small>(preferred arrangement set forth below)</small> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R &amp; D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Sheets <span style="border: 1px solid black; padding: 0 5px;">10</span>]</span></p> <p>5. Oath or Declaration <span style="float: right;">[Total Pages <span style="border: 1px solid black; padding: 0 5px;">3</span>]</span></p> <p style="margin-left: 20px;">a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for a continuation/divisional with Box 18 completed)</small></p> <p style="margin-left: 20px;">i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p style="margin-left: 20px;">b. Specification Sequence Listing on:</p> <p style="margin-left: 40px;">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p style="margin-left: 40px;">ii. <input type="checkbox"/> paper</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> Statements verifying identity of above copies</p> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;"><b>ACCOMPANYING APPLICATIONS PARTS</b></div> <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small></p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></p> <p>15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other: _____</p>
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18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)    of prior application No: \_\_\_\_\_ / \_\_\_\_\_  
Prior application information: Examiner: \_\_\_\_\_    Group / Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>17. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		30593		or <input type="checkbox"/> Correspondence address below	
		30593 <small>(Insert Customer No. or Attach bar code label here)</small>			
<b>Name</b>	Harness, Dickey & Pierce, P.L.C.				
<b>Address</b>	P.O. Box 8910				
<b>City</b>	Reston	<b>State</b>	VA	<b>Zip Code</b>	20195
<b>Country</b>	United States of America	<b>Telephone</b>	703-668-8000	<b>Fax</b>	703-668-8200

<b>Name (Print/Type)</b>	John A. Castellano	<b>Registration No. (Attorney/Agent)</b>	35,094
<b>Signature</b>		<b>Date</b>	January 23, 2004

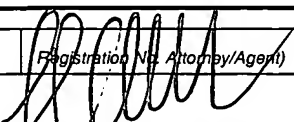
**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

16834 U.S. PTO  
10762518  
012304

17169 U.S. PTO  
012304

FEE TRANSMITTAL for FY 2003		Complete if Known		
		Application Number	NEW	
Patent fees are subject to annual revision.		Filing Date	January 23, 2004	
		Inventor(s)	YUN-CHEOL HAN	
		Examiner Name	UNASSIGNED	
		Group / Art Unit	UNASSIGNED	
TOTAL AMOUNT OF PAYMENT	(\$)	810.00	Attorney Docket No.	2557-000192/US

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)									
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit Account Number: 08-0750  Deposit Account Name: Harness, Dickey & Pierce, P.L.C. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES									
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid					
		1051	130	2051	65	Surcharge - late filing fee or oath					
		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.					
		1053	1053	1053	130	Non-English specification					
		1812	2,520	1812	2,520	For filing a request for reexamination					
		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action					
		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action					
		1251	110	2251	55	Extension for reply within first month					
		1252	420	2252	210	Extension for reply within second month					
		1253	950	2253	475	Extension for reply within third month					
		1254	1,480	2254	740	Extension for reply within fourth month					
		1255	2,010	2255	1,005	Extension for reply within fifth month					
		1401	330	2401	165	Notice of Appeal					
		1402	330	2402	165	Filing a brief in support of an appeal					
		1403	290	2403	145	Request for oral hearing					
		1451	1,510	1451	1,510	Petition to institute a public use proceeding					
		1452	110	2452	55	Petition to revive - unavoidable					
		1453	1,330	2453	665	Petition to revive - unintentional					
		1501	1,330	2501	665	Utility issue fee (or reissue)					
		1502	480	2502	240	Design issue fee					
		1503	640	2503	320	Plant issue fee					
		1460	130	1460	130	Petitions to the Commissioner					
		1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)					
		1806	180	1806	180	Submission of Information Disclosure Stmt					
		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40				
		1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))					
		1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))					
		1801	770	2801	385	Request for Continued Examination (RCE)					
		1802	900	1802	900	Request for expedited examination of a design application					
		Other fee (specify) _____									
1. BASIC FILING FEE											
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid						
1001	770	2001	385	Utility filing fee	770						
1002	340	2002	170	Design filing fee							
1003	530	2003	265	Plant filing fee							
1004	770	2004	385	Reissue filing fee							
1005	160	2005	80	Provisional filing fee							
SUBTOTAL (1)					(\$770)						
2. EXTRA CLAIM FEES											
Total Claims	15	-20 **	=	Extra Claims	0	X Fee from below	18	=	Fee Paid	0	
Independent Claims	3	-3 **	=	Extra Claims	0	X Fee from below	86	=	Fee Paid	0	
Multiple Dependent				Extra Claims		X Fee from below		=	Fee Paid	0	
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid						
1202	18	2202	9	Claims in excess of 20							
1201	86	2201	43	Independent claims in excess of 3							
1203	290	2203	145	Multiple dependent claim, if not paid							
1204	86	2204	43	** Reissue independent claims over original patent							
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent							
SUBTOTAL (2)					(\$ 0)						
*Reduced by Basic Filing Fee Paid							SUBTOTAL (3)		(\$ 40)		
**or number previously paid, if greater; For Reissues, see above											

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	John A. Castellano	Registration No. Attorney/Agent	35,094	Telephone	703-668-8000
Signature		Date	January 23, 2004		

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